# Groupoid $L^p$ operator algebras (joint work with Eusebio Gardella)

Martino Lupini

York University and Fields Institute

June 26, 2014

#### Table of Contents

 $\bigcirc$   $L^p$  operator algebras

② Groupoids and their representations

3 Groupoids and inverse semigroups of slices

#### Table of Contents

 $\bigcirc$   $L^p$  operator algebras

② Groupoids and their representations

3 Groupoids and inverse semigroups of slices

# $L^p$ spaces

 $\lambda$  is a Borel  $\sigma$ -finite measure on a standard Borel space Z

We will assume for convenience that  $\lambda$  is not purely atomic

 $L^p(\lambda)$  is the Banach space of p-summable functions on Z up to null sets

 $B(L^p(\lambda))$  is the Banach algebras of bounded linear operators on  $L^p(\lambda)$ 

 $B(L^p(\lambda))$  is matricially normed by

$$M_n(B(L^p(\lambda))) \cong B(L^p(\lambda \times c_n))$$

where  $c_n$  is the counting measure on  $\{0, 1, \dots, n-1\}$ 



# $L^p$ operator algebras

#### **Definition**

A concrete  $L^p$  operator algebra A is a closed subalgebra of  $B(L^p(\lambda))$ 

A is matricially normed by

$$M_n(A) \subset M_n(B(L^p(\lambda))) \cong B(L^p(\lambda \times c_n))$$

A is this p-operator system s. t. every  $M_n(A)$  is a Banach algebra

#### Definition

An abstract  $L^p$  operator algebra A is a matricially normed Banach algebra completely isometrically isomorphic to a concrete  $L^p$  operator algebra

#### **Problem**

Is there an abstract (intrinsic) characterization of L<sup>p</sup> operator algebras?

# Some previous works

The general theory of  $L^p$  operator algebras has been recently "launched" by Chris Phillips.

Among the examples he considered there are:

- L<sup>p</sup> analogs of the Cuntz C\*-algebras
- L<sup>p</sup> analogs of the UHF C\*-algebras
- ullet enveloping  $L^p$  operator algebras of locally compact groups

Subsequent work by Phillips-Viola, Gardella-Thiel, Pooya-Hejazian ...

Problem: generalizing results from  $C^*$ -algebras to  $L^p$  operator algebras

The main difference is that in  $L^p$  there is no adjoint for  $p \neq 2$ 

#### Table of Contents

L<sup>p</sup> operator algebras

2 Groupoids and their representations

3 Groupoids and inverse semigroups of slices

# A groupoid approach

We studied representations of étale groupoids on  $L^p$  spaces constructing the associated enveloping  $L^p$  operator algebras

#### Goals:

- lacktriangle isolate the "good" representations of algebraic objects on  $L^p$
- $oldsymbol{0}$  give a common generalization of the  $L^p$  UHF and Cuntz algebras
- ullet provide several new example of  $L^p$  analogs of "classical" C\*-algebras (Cuntz-Krieger algebras, tiling algebras, graph algebras...)

# Étale groupoids

A groupoid G is a small category where every arrow is invertible.

The set of objects is denoted by  $G^0$  and identified with a subset of G

Source and range maps are denoted by

$$s, r: G \rightarrow G^0$$

A slice of G is a subset A of G such that s and r are 1:1 on A

A groupoid is locally compact when endowed with a locally compact topology making composition and inversion of arrows continuous

A locally compact groupoid is étale if it has a countable basis of open slices

# Transformation groupoids

#### Suppose that

- Γ is a countable group
- X is a locally compact space
- $\Gamma \curvearrowright X$  is an action of  $\Gamma$  on X

The transformation groupoid  $X \rtimes \Gamma$  is the set of triples

$$(\gamma x, \gamma, x)$$

for  $\gamma \in \Gamma$  and  $x \in X$  with composition

$$(\rho \gamma x, \rho, \gamma x)(\gamma x, \gamma, x) = (\rho \gamma x, \rho \gamma, x)$$

More generally one can consider transformation groupoids associated with

- local homeomorphism (Cuntz-Krieger and graph groupoids)
- (partial) action of inverse semigroups (these cover all étale groupoids)

# The algebra of continuous compactly supported functions

The space  $C_c(G)$  of continuous functions is a normed \*-algebra with

multiplication by convolution

$$(f_0*f_1)(\gamma) = \sum_{\rho_0\rho_1=\gamma} f(\rho_0)f(\rho_1)$$

involution

$$(f^*)(\gamma) = \overline{f(\gamma^{-1})}$$

norm

$$\|f\|_{I} = \max \left\{ \sup_{x \in G^{0}} \sum_{r(\gamma)=x} |f(\gamma)|, \sup_{x \in G^{0}} \sum_{s(\gamma)=x} |f(\gamma)| \right\}$$

It is in fact matricially normed via the identification

$$M_n(C_c(G)) \cong C_c(n \times G \times n)$$

where  $n \times G \times n$  is a suitable amplification of  $G_{n}$ 

# Representations of groupoids on bundles of Hilbert spaces

Suppose that G is an étale groupoid.

A representation of G on a Hilbert bundle is given by

- lacktriangledown a quasi-invariant probability Borel measure  $\mu$  on  $G^0$
- ② a Borel collection  $(H_x)_{x \in G^0}$  of Hilbert spaces
- $oldsymbol{0}$  a Borel assignment  $\gamma 
  ightarrow \mathcal{T}_{\gamma}$  such that
- ullet  $T_{\gamma}$  is an invertible isometry from  $H_{s(\gamma)}$  to  $H_{r(\gamma)}$
- $T_{\gamma}T_{\rho}=T_{\gamma\rho}$  a.e.
- $\bullet \ \ T_{\gamma^{-1}}=T_{\gamma}^{-1} \ \text{a.e.}$



# Representations of groupoids on bundles of $L^2$ spaces

In fact without loss of generality there are

- $oldsymbol{0}$  a standard Borel space Z
- ② a Borel surjection  $q: Z \to G^0$
- **3** a  $\sigma$ -finite Borel measure  $\lambda$  on Z with disintegration  $\int \lambda_x d\mu(x)$

such that  $H_{x}=L^{2}\left( \lambda_{x}\right)$  for every  $x\in\mathcal{G}^{0}$ 

Define  $Z_x$  to be the inverse image of x under q

Observe that  $\lambda_x$  is a  $\sigma$ -finite Borel measure on  $Z_x = p^{-1} \{x\}$  for  $x \in G^0$ 

Moreover if  $\xi \in L^2(\lambda)$  then  $\xi_{|Z_x} \in L^2(\lambda_x)$  for  $\mu$ -a.e.  $x \in G^0$ 

# Integrated form of a representation

Consider as before the representation

$$\gamma \mapsto T_{\gamma} : L^{2}\left(\lambda_{s(\gamma)}\right) \to L^{2}\left(\lambda_{r(\gamma)}\right)$$

Is integrated form is the *I*-norm contractive \*-homomorphism

$$\pi: C_c(G) \to B\left(L^2(\lambda)\right)$$

defined by

$$(\pi(f)\xi)_{\mid Z_{y}} = \sum_{r(\gamma)=y} f(\gamma)D^{-\frac{1}{2}}(\gamma) T_{\gamma}\xi_{\mid Z_{s(\gamma)}}$$

where D is the modular function of  $(G, \mu)$ 

# Renault's disintegration theorem

# Theorem (Renault, 1980)

Every I-norm contractive nondegenerate representation  $\pi: C_c(G) \to B(L^2(\lambda))$  is of this form

### Corollary

Every I-norm contractive nondegenerate homomorphism  $\pi: C_c(G) \to B(L^2(\lambda))$  is a \*-homomorphism.

The groupoid C\*-algebra  $C^*(G)$  is the enveloping C\*-algebra of  $C_c(G)$ 

## Theorem (Renault, 1980)

There is a correspondence between

- representations of G on Hilbert bundles
- **②** contractive nondegenerate Hilbert (\*-)representations of  $C_c(G)$
- **3** contractive nondegenerate Hilbert (\*-)representations of  $C^*(G)$

# Representations of groupoids on $L^p$ bundles

What happens for representations on  $L^p$  spaces?

The notion of representation of G is defined as before, replacing  $L^2$  with  $L^p$ 

The construction of the integrated form of a representation goes through

## Theorem (Gardella, L., 2014)

Every I-norm contractive nondegenerate homomorphism  $\pi: C_c(G) \to L^p(\lambda)$  comes from a representation of G

#### Corollary

Every 1-norm contractive nondegenerate homomorphism

 $\pi: C_c(G) \to B(L^p(\lambda))$  is completely contractive

# The groupoid $L^p$ operator algebra

The groupoid  $L^p$  operator algebra  $F^p(G)$  is enveloping algebra of  $C_c(G)$  with respect to representations on  $L^p$  spaces

## Theorem (Gardella, L., 2014)

There is a correspondence between

- representations of G on bundles of L<sup>p</sup> spaces
- ② I-norm (completely) contractive nondegenerate representations of  $C_c(G)$  on  $L^p$  spaces
- I-norm (completely) contractive nondegenerate representations of F<sup>p</sup>(G) on L<sup>p</sup> spaces

#### Table of Contents

L<sup>p</sup> operator algebras

Groupoids and their representations

3 Groupoids and inverse semigroups of slices

# The inverse semigroup of clopen slices

Let us consider the case when  $G^0$  is compact zero-dimensional.

The representation theory of G is determined by a purely algebraic object

The collection  $\Sigma_G$  of clopen slices is a basis for G

If  $A, B \in \Sigma_G$  define

$$AB = \{ \gamma \rho : \gamma \in A, \rho \in B \}$$
  
$$A^* = \{ \gamma^{-1} : \gamma \in A \}$$

This makes  $\Sigma_G$  a countable semigroup such that: for every  $A \in \Sigma_G$  there is a unique  $A^* \in \Sigma_G$  such that

$$AA^*A = A$$
 and  $A^*AA^* = A^*$ 

This means that  $\Sigma_G$  is an inverse semigroup



# The idempotent semilattice

Consider the set  $E(\Sigma_G)$  of idempotent elements of  $\Sigma_G$ 

The elements of  $E(\Sigma_G)$  are precisely the clopen subsets of  $G^0$ 

Thus  $E(\Sigma_G)$  is just the Stone Boolean algebra of  $G^0$ 

# Representation of the semigroup of slices

Identify A with its characteristic function  $\chi_A \in C_c(G)$ 

This makes  $\Sigma_G$  a multiplicative subsemigroup of  $C_c(G)$ 

A representation

$$\pi: C_c(G) \to B(H)$$

induces by restriction a semigroup homomorphism from  $\Sigma_G$  to an inverse semigroup of partial isometries of H

## Theorem (Renault 1980, Exel 2008)

This establishes a correspondence between

- **1** I-norm contractive nondegenerate (\*-)representations of  $C_c(G)$
- **2** tight homomorphisms from  $\Sigma_G$  to an inverse semigroup of partial isometries of H

# **Tightness**

Tightness is a nondegeneracy condition introduced by Exel

#### Definition (Exel, 2008)

A homomorphism from  $\Sigma_G$  to an inverse semigroup of partial isometries of H is tight when it restricts to a Boolean algebra homomorphism from  $E(\Sigma_G)$  to a Boolean algebra of projections of H.

This is formulated differently for more general groupoids.

# From inner-product spaces to semi-inner product spaces

How to adapt this correspondence to the  $L^p$  case?

The notions of

- positive element
- orthogonal projection
- partial isometry

can be defined using the inner product of H

L<sup>p</sup> spaces have something similar, called semi-inner product

# Semi-inner product spaces

## Definition (Lumer, 1961)

A semi-inner product on X is a function

$$[\cdot,\cdot]:X\times X\to\mathbb{C}$$

such that

- $\bullet$   $[\cdot, \cdot]$  is linear in the first variable
- $[x,x] \ge 0$ , and equality holds iff x=0
- $|[x,y]| \le [x,x]^{\frac{1}{2}} [y,y]^{\frac{1}{2}}$

This is an inner product precisely when it is linear in the second variable.

The associated norm is

$$||x|| = [x, x]^{\frac{1}{2}}$$



# The canonical semi-inner product on $L^p$ spaces

Consider on  $L^p(\lambda)$  the semi-inner product

$$[f,g] = \|g\|_p^{2-p} \int \left(f \cdot \overline{g} \cdot |g|^{p-2}\right) d\lambda$$

It is the unique semi-inner product on  $L^p(\lambda)$  inducing the usual norm

(All smooth Banach spaces have a unique semi-inner product structure)

# Hermitian operators

Suppose that X is a semi-inner product space and  $a \in B(X)$ 

The operator range of a is

$$W(A) = \{ [ax, x] : [x, x] = 1 \}$$

# Theorem (Lumer, 1961)

The following are equivalent:

- $\mathbf{0}$   $W(A) \subset \mathbb{R}$
- **2** ||1 + ita|| = 1 + o(t) for  $t \to 0$

In such case a is called hermitian

### Example

When X = H and  $a \in B(H)$  then a is hermitian iff it is self-adjoint.

#### Partial isometries $L^p$

It is natural to replace orthogonal projections with hermitian idempotent operators in  $B(L^p(\lambda))$ 

This leads to the following definition:

#### **Definitions**

An operator  $a \in B(L^p(\lambda))$  for  $p \neq 2$  is a (spatial) partial isometry if there is  $b \in B(L^p(\lambda))$  such that

ab and ba are hermitian idempotents

# The Banach-Lamperti theorem

Partial isometries of  $L^p$  spaces have been characterized by Banach (1932). The first available proof is due to Lamperti (1958).

# Theorem (Banach-Lamperti)

Partial isometries on  $L^p(\lambda)$  for  $p \neq 2$  are all of the form

$$f \mapsto g \cdot (f_{|A} \circ \phi^{-1})$$

where

- $lue{1}$   $A,B\subset Z$  are Borel
- **2**  $\phi: A \rightarrow B$  is a measure-class preserving Borel isomorphism
- **3**  $g: Z \to \mathbb{C}$  is Borel supported by B

#### Corollary $(p \neq 2)$

Partial isometries of  $L^p(\lambda)$  form an inverse semigroup  $S(L^p(\lambda))$ 

# Back to groupoids

Suppose that  $G^0$  is compact zero-dimensional,  $\Sigma_G$  is the countable inverse semigroup of clopen slices Consider for  $p \neq 2$  a representation of G

$$\gamma \mapsto T_{\gamma} : L^{p}\left(\lambda_{s(\gamma)}\right) \to L^{p}\left(\lambda_{r(\gamma)}\right)$$

on a bundle of  $L^{p}$  spaces coming from the disintegration  $\lambda=\int\lambda_{x}d\mu\left( x\right)$ 

Consider the homomorphism  $\rho$  from  $\Sigma_G$  to  $\mathcal{S}\left(L^p(\lambda)\right)$  defined by

$$(\rho(A)\xi)_{|y} = T_{\gamma}\xi_{|s(\gamma)}$$

where  $\gamma$  is the unique element of A such that  $r(\gamma) = y$ 

This is a tight homomorphism from  $\Sigma_G$  to  $\mathcal{S}(L^p(\lambda))$ 

## Theorem (Gardella, L., 2014)

Every tight homomorphism  $\Sigma_G o \mathcal{S}\left(L^p\left(\lambda\right)\right)$  is of this form

## Theorem (Gardella, L., 2014)

There is a correspondence between

- representations of G on bundles of L<sup>p</sup> spaces
- ② I-norm (completely) contractive representations of  $C_c(G)$  on  $L^p(\lambda)$
- **3** tight homomorphisms from  $\Sigma_G$  to  $\mathcal{S}(L^p(\lambda))$

Denote by  $F_{tight}^{p}(\Sigma_{G})$  the enveloping algebra of  $\mathbb{C}\Sigma_{G}$  corresponding to tight homomorphism in  $\mathcal{S}(L^{p}(\lambda))$ 

## Corollary

 $F^p(G)$  is (completely) isometrically isomorphic to  $F^p_{tight}(\Sigma_G)$ 

One can define  $F^p_{tight}(\Sigma)$  for any (abstract) inverse semigroup  $\Sigma$ 

# L<sup>p</sup> analogs of Cuntz C\*-algebras

Consider the inverse semigroup  $\Sigma$  generated by

$$\sigma_1, \ldots, \sigma_d, \sigma_1^*, \ldots, \sigma_d^*$$

together with a zero 0 and an identity 1 subject to the relations

$$\sigma_i^* \sigma_i = 1$$

$$\sigma_j^* \sigma_i = 0 \text{ for } i \neq j$$

In such case  $F_{tight}^2\left(\Sigma\right)$  is the Cuntz algebra  $\mathcal{O}_d$ 

while  $F^{p}_{tight}\left(\Sigma\right)$  is the Phillips'  $L^{p}$  analog of the Cuntz algebra  $\mathcal{O}^{p}_{d}$ 

# The Cuntz-Krieger semigroup

Suppose that A is a  $d \times d$  matrix with entries in  $\{0,1\}$  satisfying Cuntz-Krieger condition (I)

Consider the inverse semigroup  $\Sigma_A$  generated by

$$\sigma_1,\ldots,\sigma_d,\sigma_1^*,\ldots,\sigma_d^*$$

together with a zero 0 subject to the relations

$$(\sigma_{i}^{*}\sigma_{i}) (\sigma_{j}\sigma_{j}^{*}) = A(i,j) (\sigma_{j}\sigma_{j}^{*}) = (\sigma_{j}\sigma_{j}^{*}) (\sigma_{i}^{*}\sigma_{i})$$

$$\sigma_{j}^{*}\sigma_{i} = 0 \text{ for } i \neq j$$

$$(\sigma_{j}^{*}\sigma_{j}) (\sigma_{i}^{*}\sigma_{i}) = (\sigma_{i}^{*}\sigma_{i}) (\sigma_{j}^{*}\sigma_{j})$$

 $F_{tight}^{2}\left(\Sigma_{A}
ight)\cong\mathcal{O}_{A}$  is a Cuntz-Krieger algebra

 $F^p_{tight}(\Sigma_A) = \mathcal{O}^p_A$  can be seen as  $L^p$  analog of Cuntz-Krieger algebras

#### Future work

- Uniqueness theorems for representations
- Simplicity
- Interpolation
- Quotients It is not known if  $L^p$  operator algebras are closed by taking quotients What about quotients of  $F^p(G)$ ?
- **3** Second dual Any  $L^p$  operator algebra is Arens regular (Daws, 2004) Moreover  $A^{**}$  is again an  $L^p$  operator algebra with
  - the Arens product
  - the bidual p-operator space structure